



# RESPONSE TRANSMITTAL AND FEE AUTHORIZATION

	ATTORNEY DOCKET NO.: 15162/03060	SERIAL NO.: 09/765,229	
INVENTOR DATE: JANUARY 18, 2001	CONFIRMATION NO: 7378	EXAMINER MICHAEL J. STAHL	GROUP ART UNIT: 2874
INVENTOR(S): Koujirou SEKINE et al			
TITLE OF INVENTION: OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE			

## MAIL STOP RCE

COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

TRANSMITTED HEREWITH FOR THE ABOVE IDENTIFIED U.S. PATENT APPLICATION IS:

(A) A Preliminary Amendment in response to Office Action dated June 20, 2003.

(B) A Petition for Extension of Time (\$420)  
 for 1 month  for 2 months  for 3 months;  
 A Petition for Extension of Time, having been previously filed,  
 for 1 month  for 2 months  for 3 months

(C) A request for approval of proposed drawing changes.

(D) A Letter to Official Draftsperson.

(E) An Information Disclosure Statement.

(F) Other: Request for Continued Examination (RCE) Pursuant to 37 C.F.R. § 1.114 (\$770)

(G) A Notice of Change of Inventor Address.

The claims fee, if any, has been calculated as shown below

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	0	MINUS	0	0
INDEP.	0	MINUS	0	0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY		LARGE ENTITY	
RATE	ADD'L FEE	RATE	ADD'L FEE
x \$9	\$	x \$18	\$-0-
x \$43		x \$86	\$-0-
+ \$145		+ \$290	
TOTAL ADD'L FEE	\$ 0.00	TOTAL ADD'L FEE	\$-0-

OR

Please charge \$1190 to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260, which includes  
 the amount of \$0 for the claims fee calculated above AND/OR  
 the amount of \$1190 for the fee for item(s)  (B)  (E)  (F), *supra*

Please charge any additional fees (other than issue fee) required during the pendency of this application to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.

A duplicate copy of this Response Transmittal and Fee Authorization is enclosed.

November 19, 2003  
 SIDLEY AUSTIN BROWN & WOOD LLP  
 717 N. Harwood, Suite 3400  
 Dallas, Texas 75201  
 Main: (214) 981-3300  
 Direct: (214) 981-3482  
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By:

  
 Douglas A. Sorensen  
 Attorney for Applicants  
 Registration No. 31,570

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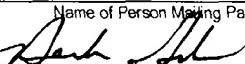
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/765,229  
Applicants: Koujirou SEKINE et al  
For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL  
INTEGRATED DEVICE  
Confirmation No.: 7378  
Customer No.: 24367  
Docket No.: 15162/03060  
Filed: January 18, 2001  
Group Art Unit: 2874  
Examiner: Michael J. Stahl

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**MAIL STOP RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

EXPRESS MAIL MAILING LABEL NO. EL 794581323 US
DATE OF DEPOSIT: NOVEMBER 19, 2003
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to MAIL STOP RCE, Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450.
<u>Derrick Gordon</u> Name of Person Making Paper or Fee
 Signature
<u>November 19, 2003</u> Date of Signature

**PRELIMINARY AMENDMENT**

The following is a Preliminary Amendment, which is being submitted concurrently with the filing of a Request for Continued Examination.

A Petition for Extension of Time, to extend the response period for the Office Action, dated June 20, 2003, for two additional months to November 20, 2003, is being filed concurrently.

Application No. 09/765,229  
Preliminary Amendment dated November 19, 2003  
Reply to Office Action of June 20, 2003

**Amendments to the Claims** are reflected in the listing of claims which begins on page 3 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.

Please amend the above-identified application as follows: